

# Non-unit Protection Scheme Based on Cooperation with Control Strategy for Multiterminal Hybrid HVDC System Without Line Boundary

Ye Li, Na Jia, Jiawei He, Bin Li, *Member, IEEE*, Haiyang Wang, and Shengbo Zhang

**Abstract**—Multiterminal hybrid HVDC systems, combining the advantages of conventional and flexible DC systems, are considered important development trends for future power networks. With the ability to suppress DC fault current, hybrid HVDC systems eliminate the requirement of current limiting reactors at both ends of DC lines. Thus, non-unit protection based on line boundaries is no longer applicable. In this paper, a non-unit protection scheme in cooperation with converter control is proposed to ensure protection speed, reliability, and sensitivity for multiterminal hybrid HVDC systems without line boundaries. In the proposed protection scheme, the protection criterion based on the transient energy of the DC voltage and the direction criterion based on the travelling wave are used to identify whether the fault occurs on the DC line. When the fault is detected as a DC-line fault, the relevant converter station is quickly blocked. After a specified hold-off duration, the converter station interfacing with multiple DC lines is prioritized for restart, while the remaining converter stations are restarted once the DC voltage is stabilized. Simulations based on PSCAD/EMTDC validate the feasibility of the proposed protection scheme and its superiority over competing approaches.

**Index Terms**—Multiterminal hybrid HVDC system, non-unit protection scheme based on cooperation with control strategy, independent of line boundary.

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Received: October 18, 2024

Accepted: April 28, 2025

Published Online: September 1, 2025

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DOI: 10.23919/PCMP.2024.000190

## I. INTRODUCTION

Accelerating the utilization of renewable energy and building an interconnected global energy infrastructure are necessary to promote energy revolution and realize the broad utilization of clean energy [1], [2]. Large-scale renewable energy sources are often located far from load center, and thus require long-distance, high-capacity energy transmission, leading to the development and utilization of DC transmission technology [3]. As line-commutated converter (LCC) inverter stations suffer from the risk of commutation failure, conventional HVDC transmission systems based on LCC are generally used for point-to-point systems [4], [5]. Multiterminal hybrid HVDC systems combining the advantages of conventional and flexible DC transmission technologies, have promising development prospects. Rectifier stations, which are based on LCC, saves investments, and inverter stations, which are based on modular multilevel converter (MMC), enhance the operation flexibility of such networks [6]. This system retains the large transmission capacity of conventional DC transmission technology and uses a flexible DC converter to provide voltage support, avoiding the risk of LCC-based converter commutation failure problems [7].

At present, planned and constructed multiterminal hybrid HVDC systems mainly use high-voltage DC overhead lines, which greatly increases the risk of DC line faults [8], [9]. Therefore, rapid DC fault isolation is a key technology for improving real-world application prospects of multiterminal hybrid HVDC system. DC fault isolation method is greatly affected by the topology of the multiterminal hybrid HVDC system. The combination of a half bridge submodule (HBSM) based MMC and a DC circuit breaker, and the combination of a fault self-clearing converter and a DC disconnecter are typical structures used to isolate DC faults [10], [11]. The differences in these topologies lead to marked differences in identifying and isolating DC faults, necessitating research into DC fault protection principles for different HVDC systems.

For a flexible HVDC system constructed with HBSM-based MMC and DC circuit breaker, installing current limiting inductors is necessary to limit the rapid rise of fault current, and these inductors cooperate with

the DC circuit breaker to isolate the fault [12], [13]. Many researchers have proposed non-unit protection schemes that utilize the blocking effect of boundary elements.

The voltage change rate at the line side of the DC inductor is used to identify the fault location in [14] and [15]. Reference [16] analyzes the characteristics of the transmitted and reflected traveling wave (TW) at the line terminal and proposes a non-unit protection scheme that includes the fault section discrimination criterion based on the magnitude and change rate of the voltage, and the direction protection criterion based on the current change rate. Reference [17] introduces non-unit protection based on the reflected TW. Furthermore, to improve the ability of the protection to withstand transition resistance, reference [18] utilizes the transient voltage ratio at both sides of the current-limiting inductor to discriminate the fault section. Reference [19] analyzes the characteristic difference of internal and external faults considering the distribution and frequency variation characteristics of line parameters. Considering these prior works, a new non-unit protection based on the transient voltage energy is developed in this study.

An HVDC system based on a converter with fault isolation capability is another typical application scenario [20]. An example of such a HVDC system is the Wudongde hybrid HVDC grid in China, as shown in Fig. 1 [6]. In Fig. 1, inverter stations  $S_1$  and  $S_2$  are based on MMC composed of full bridge submodules (FBSMs) and HBSMs, and rectifier station  $S_3$  is based on LCC. Because the MMC converters are composed of FBSMs and HBSMs, DC fault current limitation and clearance can be realized as the FBSMs can output reverse voltages. For the LCC-based station, when a DC fault occurs, the DC fault current can be limited and suppressed quickly by interrupting the thyristor trigger signal and controlling the phase shift. Since the converters can limit and restrain the fault current, the necessity of having a DC inductor installed at both DC line ends is greatly reduced.

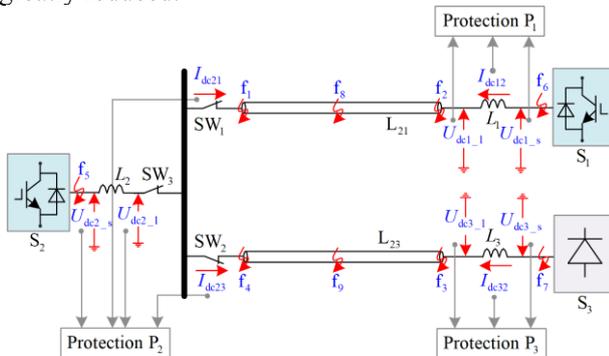


Fig. 1. The typical topology of the multiterminal hybrid HVDC system.

For this typical scenario, non-unit protection criteria

based on the line boundary are not applicable because they cannot distinguish between a terminal fault on the protected line and an outlet fault of the adjacent line. For example, protection  $P_1$  and  $P_3$  cannot identify the fault  $f_1$  and  $f_4$  only based on the local traveling wave. Therefore, reference [21] proposes an active-signal-injection-based fault identification scheme, which relies on the control strategy to inject harmonic signals to the system. However, this method somewhat increases the complexity of converter control. Communication-based protection using two terminal quantities is another preferred solution. Related examples include direction pilot protection based on TW and current differential protection [22]–[25]. However, the delay caused by line communication severely affects the operation speed of the protection.

Therefore, this study designs a non-unit protection scheme based on cooperation with the control strategy for a hybrid multi-terminal direct current (MTDC) power grid without a line boundary. This scheme relies on only local information and can achieve rapid fault identification and recovery.

The rest content of this paper is as follows. Firstly, the performances of the existing protection criteria are compared, and the protection configuration for DC line fault identification in a multiterminal hybrid HVDC are provided in Section II. On this basis, in Section III, a non-unit protection scheme based on cooperation with control is proposed for the multiterminal hybrid HVDC system without a line boundary. The proposed scheme realizes rapid fault identification and recovery since it is based on single terminal electrical quantities. And then, the superiority of the proposed non-unit protection scheme over competing approaches is verified using the PSCAD/EMTDC simulation platform in Section IV. Finally, the conclusion is presented in Section V.

## II. PROTECTION PERFORMANCES ANALYSIS AND PROTECTION CONFIGURATION FOR DC LINE FAULT IDENTIFICATION IN THE MULTITERMINAL HYBRID HVDC SYSTEM

For the multiterminal hybrid HVDC system without line boundary shown in Fig. 1, it is not possible to accurately identify which line is the fault line. However, through the combination of the non-unit fault identification criteria and direction criteria, fast and reliable identification of the DC line fault can still be achieved. Therefore, the performances of the existing protection criteria are compared. On this basis, an appropriate setting calculation principle is developed.

### A. Non-unit Protection Criteria for Fault Section Identification

To evaluate the performance of different non-unit protection criteria for fault section identification and

calculate the protection setting value, protection  $P_1$  in Fig. 1 is taken as an example to analyze the characteristics of the DC fault at different positions. The main parameters of the system and line are listed in Table I and Table II, respectively.

TABLE I  
HYBRID HVDC GRID PARAMETERS

Parameter	$S_1$	$S_2$	$S_3$
Rated AC voltage (kV)	525	525	535
Rated DC voltage (kV)	$\pm 780$	$\pm 770$	$\pm 800$
DC inductor (mH)	75	75	150
Rated power (MVA)	5100	3132	9720
Arm inductor (mH)	41.2	61.2	
Submodule capacitance ( $\mu\text{F}$ )	18 000	12 000	
Submodule number	210	210	

TABLE II  
HVDC LINE PARAMETERS

Parameter	$L_{21}$	$L_{23}$
Hight (m)	72	64
Horizontal spacing (m)	28	22
Ground wire	Outer radius (mm)	15.75
	Sag (m)	15
DC resistance ( $\Omega/\text{km}$ )	0.5807	0.5807
Conductor	Hight (m)	64
	Horizontal spacing (m)	23
	Outer radius (mm)	36.23
	Sag (m)	26
	Length (km)	557
DC resistance ( $\Omega/\text{km}$ )	0.0391	0.022 91

For protection  $P_1$ , when an internal fault occurs, the time-domain voltage at protection  $P_1$  can be obtained through Laplace transform, as shown in [26]. Therefore, the voltage variation, change rate of the voltage, and transient voltage energy of the different fault positions and fault transition resistances can be calculated, as shown in Figs. 2(a), (b) and (c), respectively. It should be noted that the transient component of the DC voltage is extracted by the wavelet transform, and according to the wavelet transform theory, the squared wavelet coefficients denote the energy of the signal within the corresponding frequency band, as illustrated in [27] and [28]. Therefore, when the wavelet transform is applied to the voltage, the square of the maximum detail coefficient represents the transient voltage energy.

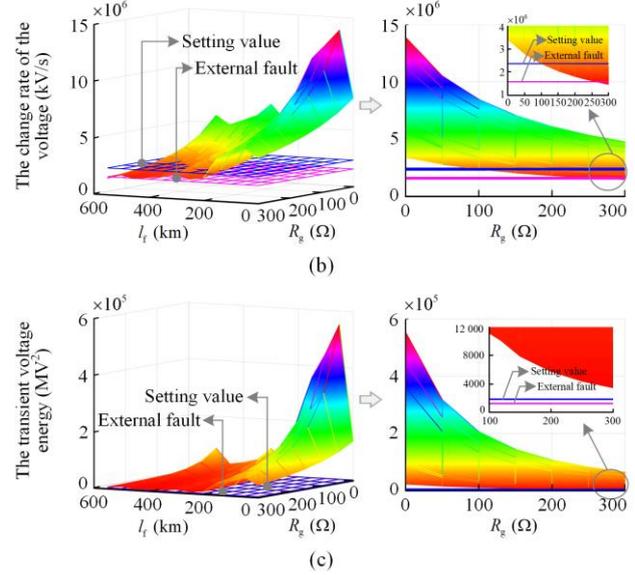
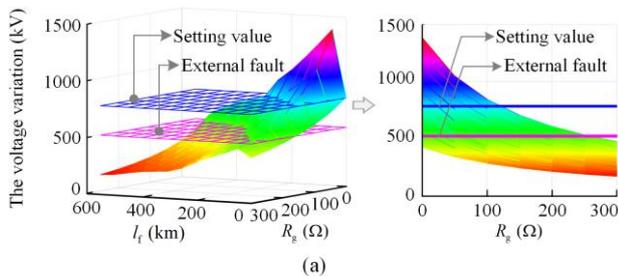


Fig. 2. Performance of the non-unit protection criteria for different fault positions and different fault transition resistances. (a) The voltage variation. (b) The change rate of the voltage. (c) The transient voltage energy.

As shown in Figs. 2(a) and (b), for a long-distance fault with a high transition resistance, the variation and change rate of the voltage are smaller than those of an external metallic fault, indicating that the non-unit protection criterion based on the time domain voltage has a weak tolerance to the transition resistance. However, compared with time-domain voltage-based non-unit protection criteria, the non-unit protection criterion based on transient voltage offers improved tolerance to fault resistance. This occurs due to the transient voltage of the internal remote fault with high transition resistance being larger than that of the external fault, as shown in Fig. 2(c). Therefore, the non-unit protection criterion based on the transient energy [19] is used, as shown:

$$\begin{cases} \text{internal fault: } E_U = (\max |U_t(k)|)^2 > E_s \\ \text{external fault: } E_U = (\max |U_t(k)|)^2 \leq E_s \end{cases} \quad (1)$$

where  $U_t$  is the DC transient voltage extracted by the wavelet algorithm;  $E_s$  is the protection setting value; and  $k$  represents the sampling point. For protection  $P_1$ , to ensure quick and sensitive action after DC-line faults and reliable no-action after non-DC-line faults, the setting value should be calculated as:

$$E_{s_1} = K_r \max(E_{U_{f5}}, E_{U_{f7}}) \quad (2)$$

where  $K_r$  is the reliability coefficient of the protection criterion ( $K_r = 1.5$ ); while  $E_{U_{f5}}$  and  $E_{U_{f7}}$  are the transient voltage energies at the line side of the DC inductor after metallic faults  $f_5$  and  $f_7$ , respectively.

The proposed calculation method for the setting value of protection  $P_3$  is similar to that of protection  $P_1$ .

However, for protections  $P_1$  and  $P_3$ , the selectivity of the non-unit protection criterion cannot be guaranteed by only setting the protection value. For example, for protection  $P_1$  installed at the outlet of station  $S_1$ , the magnitude of fault TW after DC fault  $f_1$  is equal to that of fault TW after DC fault  $f_4$ , where  $f_1$  is located at the end of  $L_{21}$  and  $f_4$  is located at the beginning of  $L_{23}$ . Therefore, further research on a non-unit protection scheme that is independent of the line boundary is necessary for a multi-terminal hybrid HVDC grid, as discussed in section III.

However, for protection  $P_2$ , the fault occurring on the  $L_{21}$  side or  $L_{23}$  side can be identified by the direction protection criterion (which is introduced in section II.B). Therefore, it is necessary to further determine whether the fault occurs on the DC line using the transient-voltage-energy-based protection criterion. The corresponding setting value can be calculated as:

$$\begin{cases} E_{s,2,L_{21}} = K_r E_{U,f_6} \\ E_{s,2,L_{23}} = K_r E_{U,f_7} \end{cases} \quad (3)$$

where  $E_{U,f_6}$  and  $E_{U,f_7}$  are the DC transient voltage energies at the line side of the DC inductor at the outlet of station  $S_2$  after metallic faults  $f_6$  and  $f_7$ , respectively, as shown in Fig. 1.

### B. The Protection Criteria for Fault Direction Identification

#### 1) The Direction Criterion Based on the DC Transient Voltages at Both Sides of the DC Inductor

For situations where there is only one line connected to the converter, such as protections  $P_1$  and  $P_3$  in Fig. 1, the amplitude ratio of the high-frequency transient voltages at both sides of the DC inductor can be used to discriminate the fault direction, based on the attenuation effect of the DC inductor on high-frequency fault components. Thus, the direction criterion based on the magnitude ratio of the line-side DC transient voltage and converter-side transient voltage can be expressed as:

$$\begin{cases} \text{line-side fault: } K_d = \frac{\max|U_{1,t}(k)|}{\max|U_{s,t}(k)|} > K_{s1} \\ \text{converter-side fault: } K_d = \frac{\max|U_{1,t}(k)|}{\max|U_{s,t}(k)|} \leq K_{s1} \end{cases} \quad (4)$$

where  $U_{1,t}$  and  $U_{s,t}$  are the transient voltages of the line side and converter side of the DC inductor, respectively, which can be calculated using the wavelet algorithm; and  $K_{s1}$  denotes the setting value of the direction criterion, which is set to 1.1.

For a multi-terminal hybrid HVDC system with fault self-clearing capability, the DC inductor can be installed at the converter outlet, instead of each end of the DC line, as inductor  $L_2$  shown in Fig. 1. Therefore, for the situation where there are multiple DC lines at the converter outlet (e.g., as protection  $P_2$  in Fig. 1), the direction criterion based on the magnitude ratio of the transient voltage at both sides of the DC inductor can only identify whether the fault occurs on the DC line-side, while this criterion cannot distinguish whether the fault occurs on  $L_{21}$  side or  $L_{23}$  side. Therefore, a direction criterion that is independent of the line boundary is necessary.

#### 2) The Direction Criterion Based on the Magnitude Ratio of the Forward and Reverse TWs

According to the analysis of the TW propagation characteristics, when a forward fault occurs, the amplitude ratio of the forward and reverse TWs is equal to the reflection coefficient within a specified time, while the amplitude ratio after the reverse fault is infinite. Therefore, the direction protection criterion based on the magnitude ratio of the forward and reverse TWs can be utilized to further identify the fault line direction, which is independent of the line boundary, shown as:

$$\begin{cases} \text{forward fault: } K_{sw} < K_{s2} \\ \text{reverse fault: } K_{sw} \geq K_{s2} \end{cases} \quad (5)$$

where  $K_{sw}$  is the magnitude ratio of the forward and reverse TWs; and  $K_{s2}$  is the setting value of the direction protection criterion based on the TW, which is affected by the reflection coefficient. It should be noted that the calculation of the reflection coefficient is based on the converter. Because the time window of the direction criterion based on TWs is tiny, the fault response of the converter need not be considered. Therefore, the reflection coefficient can be obtained by the equivalent impedance of the converter with normal operation, which is usually less than 1. For  $K_{s2}$ , it is set to 1.5.

Therefore, for the direction protection criterion based on the magnitude ratio of the forward and reverse TWs, it is very important to accurately extract the forward and reverse TWs. To eliminate the coupling effect of the positive and negative poles of the DC line, the line mode components of the DC voltage and current are utilized to identify the fault in this paper. Therefore, based on [24], the line-mode fault voltage TW and current TW can be calculated as:

$$\begin{cases} \Delta U(f) = F(f)e^{-\gamma(f)x} + B(f)e^{\gamma(f)x} = \Delta U_F(f) + \Delta U_B(f) \\ \Delta I(f) = \frac{F(f)}{Z_c(f)}e^{-\gamma(f)x} - \frac{B(f)}{Z_c(f)}e^{\gamma(f)x} = \frac{\Delta U_F(f)}{Z_c(f)} - \frac{\Delta U_B(f)}{Z_c(f)} \end{cases} \quad (6)$$

where  $f$  denotes the frequency;  $F(f)$  and  $B(f)$  are

the amplitude of the forward and reverse fault voltage TWs respectively;  $\gamma(f)$  is the propagation;  $Z_c(f)$  is the wave impedance;  $\Delta U_F(f)$  and  $\Delta U_B(f)$  represent the respective forward and reverse fault voltage TWs, which can be calculated as:

$$\begin{cases} \Delta U_F(f) = \frac{\Delta U(f) + \Delta I(f)Z_c(f)}{2} \\ \Delta U_B(f) = \frac{\Delta U(f) - \Delta I(f)Z_c(f)}{2} \end{cases} \quad (7)$$

Based on [29], neither the fault voltage nor the current contains low-frequency components during the initial stage of the fault. In addition, the line-mode wave impedance corresponding to the high frequency is a fixed value, as shown in Fig. 3. For example, within the high frequency band, the line-mode wave impedance of  $L_{21}$  is  $Z_{c\_L21} = 240 \Omega$ , while the line-mode wave impedance of  $L_{23}$  is  $Z_{c\_L23} = 220 \Omega$ . Therefore, when the DC voltage variation, DC current variation, and high-frequency wave impedance are utilized, the forward and reverse TWs can be obtained accurately. This indicates that, the forward fault TWs for protection  $P_2$  can be accurately calculated by  $\Delta U_{dc2\_1} + \Delta I_{dc21}Z_{c\_L21}$  and  $\Delta U_{dc2\_1} + \Delta I_{dc23}Z_{c\_L23}$ , while the reverse fault TWs can be accurately calculated by  $\Delta U_{dc2\_1} - \Delta I_{dc21}Z_{c\_L21}$  and  $\Delta U_{dc2\_1} - \Delta I_{dc23}Z_{c\_L23}$ .

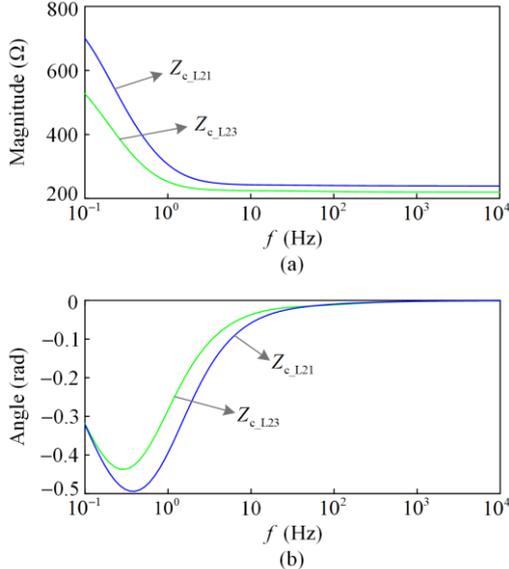


Fig. 3. The magnitude and angle of the wave impedance. (a) The magnitude of the wave impedance. (b) The angle of the wave impedance.

Therefore, and the magnitude ratio of the forward and reverse voltage TWs at the outlets of  $L_{21}$  and  $L_{23}$  can be obtained as follows:

$$\begin{cases} K_{SW1} = \frac{\left| \int (\Delta U_{dc2\_1} + \Delta I_{dc21}Z_{c\_L21}) \right|}{\left| \int (\Delta U_{dc2\_1} - \Delta I_{dc21}Z_{c\_L21}) \right|} \\ K_{SW2} = \frac{\left| \int (\Delta U_{dc2\_1} + \Delta I_{dc23}Z_{c\_L23}) \right|}{\left| \int (\Delta U_{dc2\_1} - \Delta I_{dc23}Z_{c\_L23}) \right|} \end{cases} \quad (8)$$

where  $\Delta I_{dc21}$  and  $\Delta I_{dc23}$  are the DC current variation at the outlets of  $L_{21}$  and  $L_{23}$ , respectively; and  $\Delta U_{dc2\_1}$  is the DC voltage variation at the DC bus in Fig. 1.

In addition, the time window should be selected within the range of  $[t_0, t_0 + \min(2l_1/v, 2l_2/v))$ , where  $l_1$  and  $l_2$  are the lengths of  $L_{21}$  and  $L_{23}$ , respectively, and  $v$  denotes the propagation velocity of the TW.

### III. NON-UNIT PROTECTION SCHEME BASED ON COOPERATION WITH CONTROL STRATEGY FOR THE MULTITERMINAL HYBRID HVDC SYSTEM

For the multi-terminal hybrid HVDC system shown in Fig. 1, the DC line fault can be quickly and reliably detected based on the combination of the non-unit protection criterion and the direction criteria according to Section II. However, the configured protection cannot distinguish which line is the fault line. For example, for protection  $P_1$  and  $P_3$ , fault  $f_1$  and  $f_4$  cannot be distinguished based on the local measured electrical quantities, because no DC inductor is installed on the DC line. Therefore, a non-unit protection scheme based on cooperation with control strategy is presented in this section, as shown in Fig. 4. The proposed strategy can realize fault isolation and system recovery based on local electrical information without line boundaries.

As shown in Fig. 4, for protection  $P_2$ , there is no DC line boundary element at either line outlet connected to station  $S_2$ , thus, the direction criterion independent of the line boundary can be utilized to identify the direction of the fault line. When protection  $P_2$  is initialized by the starting criterion, the magnitude ratio of the forward and reverse TWs at the outlets of  $L_{21}$  ( $K_{SW1}$ ) and  $L_{23}$  ( $K_{SW2}$ ) can be calculated according to (8). Then, if  $K_{SW1} \geq K_{s1}$  and  $K_{SW2} \geq K_{s2}$ , the fault can be judged as a reverse fault, indicating that no faults occur on either  $L_{21}$  or  $L_{23}$ . However, if  $K_{SW1} < K_{s2}$ , the fault can be judged as  $L_{21}$ -side DC fault, whereas if  $K_{SW2} < K_{s2}$ , the fault can be judged as a  $L_{23}$ -side DC fault. When the DC-line side fault is detected, whether the fault occurs on the DC line should be further determined by the protection criterion based on the transient voltage energy, as shown in (1) and (3).

However, for protection  $P_1$  and  $P_3$ , it is difficult to identify the faults  $f_1$  and  $f_4$  based solely on the local measured electrical quantities, as no DC inductor is installed on the DC line. Taking protection  $P_1$  as an example, non-unit protection based on cooperation with converter station control strategy is illustrated with one outgoing line (while the protection scheme of  $P_3$  is similar). The detailed protection scheme is shown in Fig. 4.

When protection  $P_1$  is started, the DC transient voltages at both sides of the DC inductor are calculated using the wavelet algorithm based on  $U_{dc1,l}$  and  $U_{dc1,s}$ .

Therefore, the direction criterion based on the DC transient voltages at both sides of the DC inductor shown in (4) is utilized to identify the fault direction. A DC-line side fault can be detected when  $K_{d1} > K_{s1}$ , where  $K_{d1}$  is the magnitude ratio of the line side and converter side transient voltages of the DC inductor at protection  $P_1$ . When the fault is detected as a DC-line side fault, it is necessary to judge whether the fault occurs on the DC line by the transient-voltage-energy criterion. Thus, as shown in (1) and (2), if  $E_{U1} > E_{s1}$ , the fault can be judged to be on the DC line. Otherwise, the fault is a non-DC-line fault.

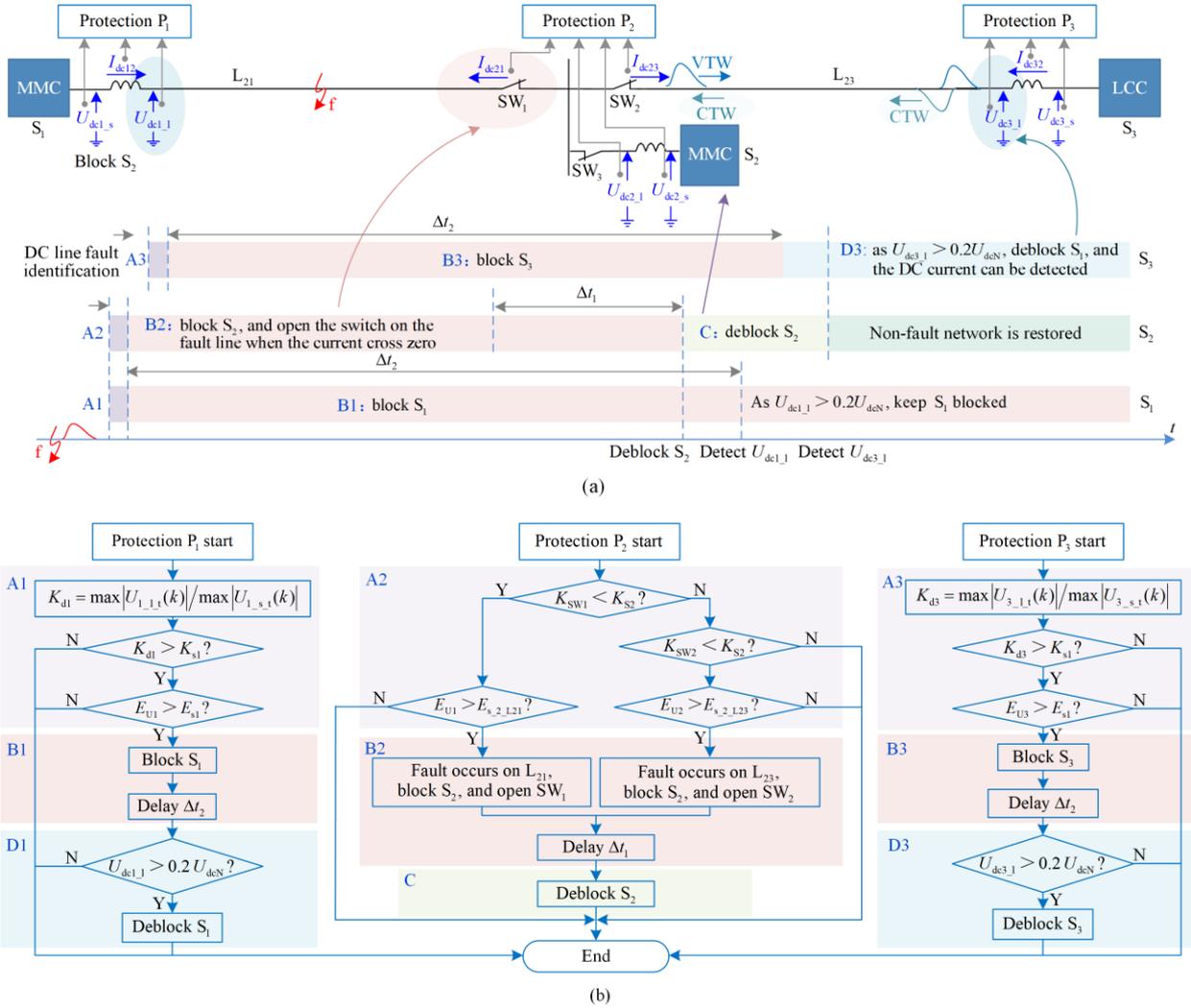


Fig. 4. The non-unit protection scheme based on cooperation with the control strategy. (a) The time sequence chart. (b) The protection process diagram.

Based on these constructs, if a DC-line fault is identified, the converter station should be blocked to suppress the DC current as soon as possible. When the converter station is blocked, the reverse polarity of the capacitor in the FBSM will be connected to the fault circuit, and the DC fault current can be quickly suppressed, and subsequently, the fault line can be isolated

by the DC switches. After the opening of switches  $SW_1$  or  $SW_2$ , converter station  $S_2$  is deblocked following a delay period ( $\Delta t_1$ ) to establish the DC voltage. Then, the voltage TW propagates along the line. In this case, for  $P_1$  and  $P_3$ , the DC fault on  $L_{21}$  or  $L_{23}$  can be identified by checking whether the DC voltage is successfully

established. For example, for protection  $P_1$ , if there is no DC voltage after deblocking  $S_2$  and a delaying period ( $\Delta t_2$ ), this indicates that the fault is on  $L_{21}$ . In contrast, if the DC voltage satisfies  $U_{\text{del}_1} > 0.2U_{\text{dcN}}$  ( $U_{\text{dcN}}$  is the rated DC voltage) after deblocking  $S_2$  and a delaying period of  $\Delta t_2$ , the fault can be identified as not occurring on  $L_{21}$ . In this situation, the non-fault network can be restored by deblocking converter station  $S_1$ . Otherwise, converter station  $S_1$  remains blocked because the fault occurs on  $L_{21}$ . Specifically,  $\Delta t_2$  is set to ensure that before converter station  $S_1$  is deblocked, the fault line has been disconnected by the switch, and station  $S_2$  is deblocked.

It should be noted that the basic principles of the protection criteria utilized in the proposed non-unit protection scheme are based on the fault-induced additional network. Therefore, the protection criteria rely on the fault components of voltage and current, which are obtained by subtracting the normal operation values from the sampling values. Consequently, the performance of the proposed protection method is not affected by the power flow direction of individual converter stations.

#### IV. CASE STUDIES

A simulation model of the three-terminal hybrid HVDC grid shown in Fig. 1 is established to verify the feasibility of the proposed non-unit protection scheme based on cooperation with converter control. As shown in Fig. 1, inverter stations  $S_1$  and  $S_2$  are based on MMC, where the arm bridge is composed of HBSM and FBSM, while rectifier station  $S_3$  is based on LCC. The main parameters of the system are listed in Table I. In addition, frequency-dependent overhead line model is utilized in the simulation model, and the detailed parameters of the HVDC lines are shown in Table II. Considering that the sampling rate of the protection equipment in HVDC projects is typically in the range of 10 kHz–20 kHz, the protection sampling rate is selected as 10 kHz in this paper. As analyzed in [19], the selected sampling rate meets not only the requirements of the protection criteria, but also the actual engineering requirements.

##### A. Applicability of Traditional Non-unit Protection

Non-unit protection is utilized as the main protection for the DC lines in an HVDC grid, and this approach can detect DC faults quickly, independent of line communication. Typical non-unit protection methods mainly rely on line boundaries, e.g.,  $du/dt$ -based protection, TW-based protection, and transient-component-based

protection. Therefore, the operation performance of protection  $P_1$  after positive pole-to-ground (PTG) faults  $f_1$  and  $f_4$  in Fig. 1 is shown to illustrate the applicability of traditional non-unit protection. The corresponding simulation results are shown in Fig. 5.

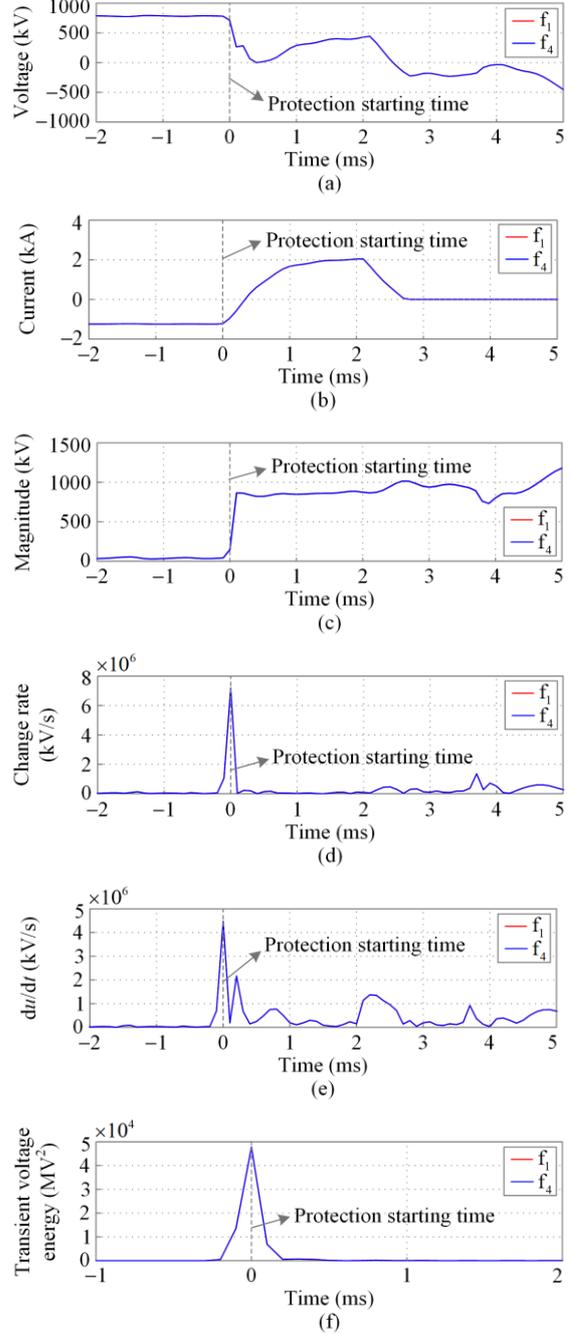


Fig. 5. Applicability of traditional non-unit protection for protection  $P_1$ . (a) The DC voltage. (b) The DC current. (c) Magnitude of the fault TWs. (d) Fault voltage TW change rate. (e)  $du/dt$ . (f) Transient voltage energy.

For protection  $P_1$ , the electrical distance of fault  $f_1$  equals to that of fault  $f_4$  because no line boundary

exists between  $L_{21}$  and  $L_{23}$ . Thus, the DC electrical quantities after faults  $f_1$  and  $f_4$  are the same, resulting in the non-unit protection being unable to distinguish between the internal and external faults. The DC voltage and current after faults  $f_1$  and  $f_4$  are shown in Figs. 5(a) and (b), respectively. Consistent with the measured DC voltage and current, the magnitude and change rate of the fault voltage TW can be calculated, as shown in Figs. 5(c) and (d). When the magnitude or change rate of the fault TW is larger than the setting value of the protection criterion, the fault can be judged as an internal fault. However, faults  $f_1$  and  $f_4$  cannot be distinguished because the magnitude and change rate of the fault TWs for faults  $f_1$  and  $f_4$  are identical, as shown in Figs. 5(c) and (d). Similarly, the protection criteria based on the change rate of the voltage and transient voltage energy cannot distinguish between the internal fault  $f_1$  and the external fault  $f_4$ , as shown in Fig. 5(e) and (f), respectively. In conclusion, non-unit protection based on line boundary cannot be applied to a multi-terminal hybrid HVDC grid, shown in Fig. 1.

### B. Feasibility of the Non-unit Protection Scheme Based on Cooperation with the Control Strategy

The operations of converter stations  $S_1$ ,  $S_2$  and  $S_3$  after faults  $f_1$  and  $f_4$  are observed to show the superiority of the proposed non-unit protection scheme based on cooperation with the control strategy over competing approaches. In this paper, the protection starting time is  $t_0 = 0$ , while  $\Delta t_1$  and  $\Delta t_2$  are selected as 30 ms and 100 ms, respectively. Based on [19] and [25], the time window of the protection criteria can be selected as  $[t_0 - 1 \text{ ms}, t_0 + 2 \text{ ms}]$ . It should be noted that, for the protection criteria based on the transient voltage, the db3 wavelet function with filter array length of six is utilized to extract the transient voltage. In order to eliminate the influence of the boundary effect, there need be at least four sampling points before the protection starting based on the basic principle of the wavelet algorithm [24]. When the time window is  $[t_0 - 1 \text{ ms}, t_0 + 2 \text{ ms}]$  and the sampling rate is 10 kHz, the number of selected data window is 31, of which the number of the sampling data before the protection starting time is 10. Consequently, the selected time window can accurately reflect the fault, and the calculation results affected by the boundary should be discarded when identifying the fault.

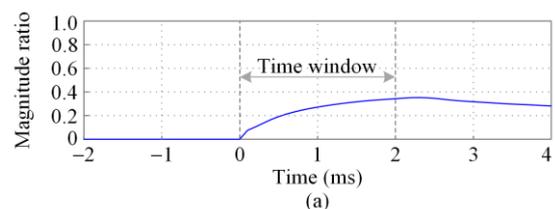
In addition, for protection  $P_1$  and  $P_3$ , the setting values of the protection criterion based on the DC transient voltage energy are  $764 \text{ MV}^2$  and  $1484 \text{ MV}^2$ ,

respectively. For protection  $P_2$ , the setting value of the  $L_{21}$ -side protection criterion based on the DC transient voltage energy is  $567 \text{ MV}^2$ , and the setting value at the  $L_{23}$  side is  $174 \text{ MV}^2$ .

#### 1) Fault Occurs on $L_{21}$

The simulation results for converter stations  $S_1$ ,  $S_2$ , and  $S_3$  when a metallic positive PTG fault occurs at the outlet of  $L_{21}$  are shown in Figs. 6–8, respectively.

For protection  $S_2$ , after fault  $f_1$ , the DC voltage drops, and the DC current rises rapidly. When protection  $P_2$  is initialized, the fault direction is identified by the TW-based direction criterion. As shown in Fig. 6(a), the magnitude ratio of the forward and reverse TWs at the outlet of  $L_{21}$  is 0.34, which is less than the setting value of the protection criterion. However, the magnitude ratio at the outlet of  $L_{23}$  is 89.98, which is much larger than the setting value, as shown in Fig. 6(b). Therefore, the fault can be detected as a  $L_{21}$ -side fault based on the basic principle of the direction criterion. The DC transient voltage energy of protection  $P_2$  calculated by the wavelet algorithm is shown in Fig. 6(c) to further determine whether the fault occurs on  $L_{21}$ . As shown in Fig. 6(c), the transient voltage energy in the selected time window of fault  $f_1$  is  $139\,930 \text{ MV}^2$ , which is much larger than the setting value of  $567 \text{ MV}^2$ . Thus, the fault can be judged to occur on  $L_{21}$ . In this situation, converter station  $S_2$  is blocked as soon as possible to suppress and clear the fault current, and the DC switch isolates the fault line when the DC current is small. After a delaying period of  $\Delta t_1$ , converter  $S_2$  is deblocked at  $t = 74 \text{ ms}$ , and the DC voltage is established, as shown in Fig. 6(d). Converter  $S_1$  which is connected to the fault line cannot detect the DC voltage because the switch on the fault line is off, whereas converter  $S_3$ , which can detect the DC voltage, is deblocked quickly. The DC current can be detected on  $L_{23}$  after  $S_3$  is deblocked as shown in Fig. 6(e), and the non-fault network is restored.



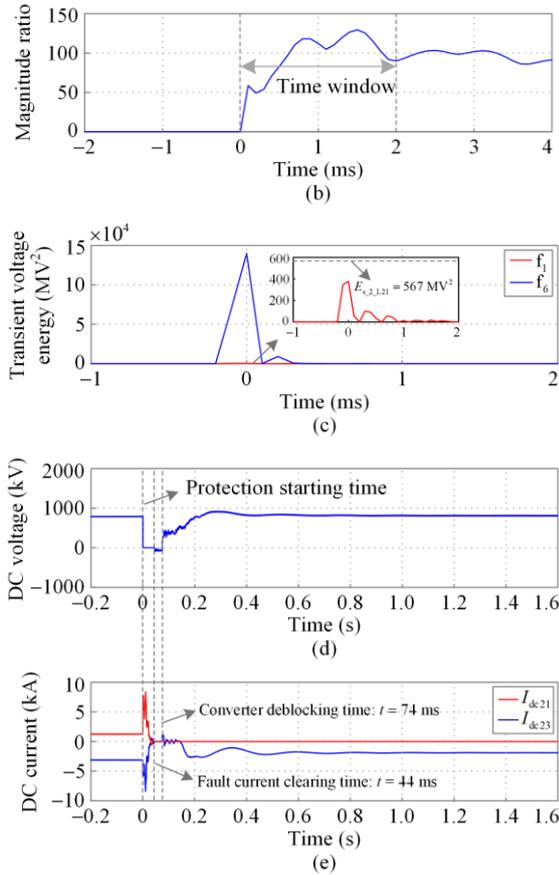


Fig. 6. Performance of protection P<sub>2</sub> and the operation of converter S<sub>2</sub> after fault f<sub>1</sub>. (a) TW magnitude ratio of L<sub>21</sub>. (b) TW magnitude ratio of L<sub>23</sub>. (c) Transient voltage energy. (d) DC voltage at the outlet of the S<sub>1</sub>. (e) DC current.

For protection P<sub>1</sub>, protection can be started immediately when a fault occurs at the outlet of L<sub>21</sub> resulting in rapid DC voltage drop and DC current rise. Direction protection based on the magnitude ratio of the line-side and converter-side transient voltages is utilized to judge the fault direction. According to the simulation results shown in Fig. 7, the transient voltage at the line side of the DC inductor is much larger than that at the converter side, and the magnitude ratio is calculated as 2.41, which is larger than the setting value 1.1. Therefore, the fault can be judged as a DC-line side fault. Subsequently, the line-side transient voltage energy of the DC inductor at the outlet of S<sub>1</sub> should be calculated to further determine whether the fault occurs on the DC line. As shown in Fig. 7(b), the DC transient voltage energy at the line side of the DC inductor after fault f<sub>1</sub> is 47 827 MV<sup>2</sup>, which is much larger than the setting value of 764 MV<sup>2</sup>. Thus, the fault can be judged to occur on the DC line. When the converter station is blocked and a delay time Δt<sub>2</sub> is elapsed, the DC fault can be identified by detecting the DC voltage. As shown in Fig. 7(c), a fault occurs on L<sub>21</sub> which is connected to

converter station S<sub>1</sub> as the DC voltage at the outlet of S<sub>1</sub> remains at zero. Therefore, converter station S<sub>1</sub> remains out of operation, and the DC current cannot be recovered, as shown in Fig. 7(d).

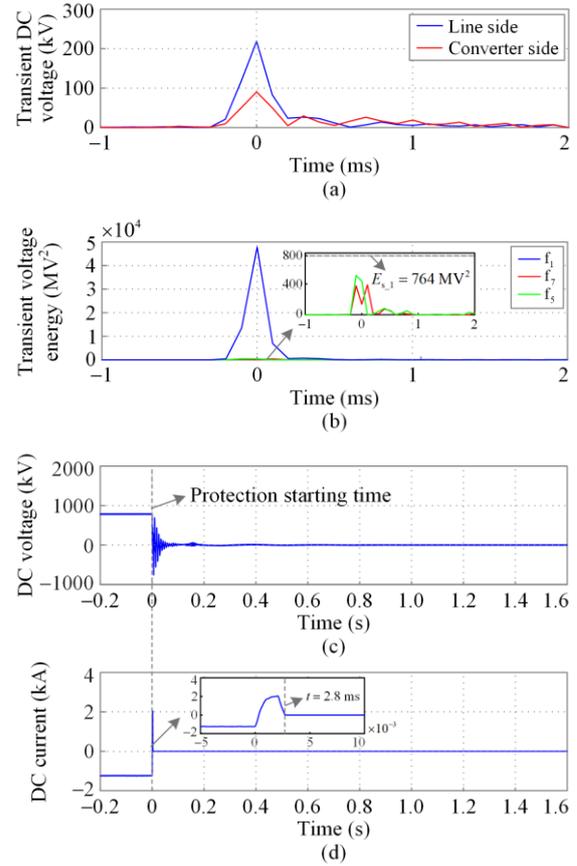


Fig. 7. Performance of protection P<sub>1</sub> and the operation of converter S<sub>1</sub> after fault f<sub>1</sub>. (a) Transient DC voltage. (b) Transient voltage energy. (c) DC voltage. (d) DC current.

Similar to protection P<sub>1</sub>, protection P<sub>3</sub> is started following the rapid drop of the voltage and rapid rise of the current after the DC fault. The fault can be judged to occur at the DC line side because the magnitude ratio of the line-side and converter-side transient voltages is 1.43, which is larger than the setting value, as shown in Fig. 8(a). The transient DC voltage energy is calculated to further determine whether the fault occurs on the DC line. According to the simulation results shown in Fig. 8(b), the maximum transient voltage energy after fault f<sub>1</sub> is 14 923 MV<sup>2</sup>, which is much larger than the setting value of 1484 MV<sup>2</sup>, and the fault can be judged to occur on the DC line. The DC voltage at the outlet of converter S<sub>3</sub> is shown in Fig. 8(c). When the converter is blocked, the DC voltage can be detected again after a delaying period of Δt<sub>2</sub>. Therefore, the simulation results show that no fault occurs between converters S<sub>2</sub> and S<sub>3</sub>, and

the non-faulting power system should be restored by deblocking converter  $S_3$ , as shown in Fig. 8(d).

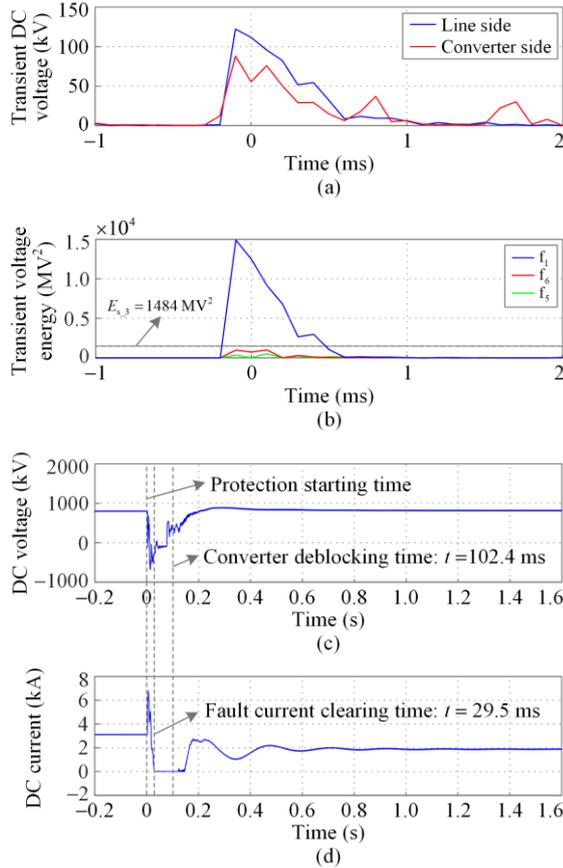


Fig. 8. Performance of protection  $P_3$  and the operation of converter  $S_3$  after fault  $f_1$ . (a) Transient DC voltage. (b) Transient voltage energy. (c) DC voltage. (d) DC current.

## 2) Fault Occurs on $L_{23}$

When a metallic positive PTG fault occurs at the outlet of  $L_{23}$ , the simulation results for converter stations  $S_1$ ,  $S_2$ , and  $S_3$  are shown in Figs. 9–11.

As shown in Figs. 9(a)–(b), the magnitude ratios of the forward and reverse TWs at the outlets of  $L_{21}$  and  $L_{23}$  are 110.20 and 0.30, respectively. Thus, the fault can be judged to occur on  $L_{23}$  by TW-based direction protection for protection  $P_2$ . Then, the transient DC voltage energy at the outlet of  $L_{23}$  is calculated as  $139\,900\text{ MV}^2$ , which is much larger than the setting value of  $174\text{ MV}^2$ , as shown in Fig. 9(c). Therefore, the DC fault can be judged to occur on  $L_{23}$ . When the fault is judged as a DC-line fault, the converter should be blocked as soon as possible, and then switch  $SW_2$  should be turned off once the DC fault current is small. After a delaying period of  $\Delta t_1$ , converter  $S_2$  is deblocked to

establish the DC voltage, as shown in Fig. 9(d). Considering that the fault line is isolated by  $SW_2$ , the DC voltage is not detected at the outlet of converter  $S_3$ , which is connected to the fault line. The current flows through  $L_{21}$  after deblocking converter  $S_1$ , as shown in Fig. 9(e), and the power system is restored.

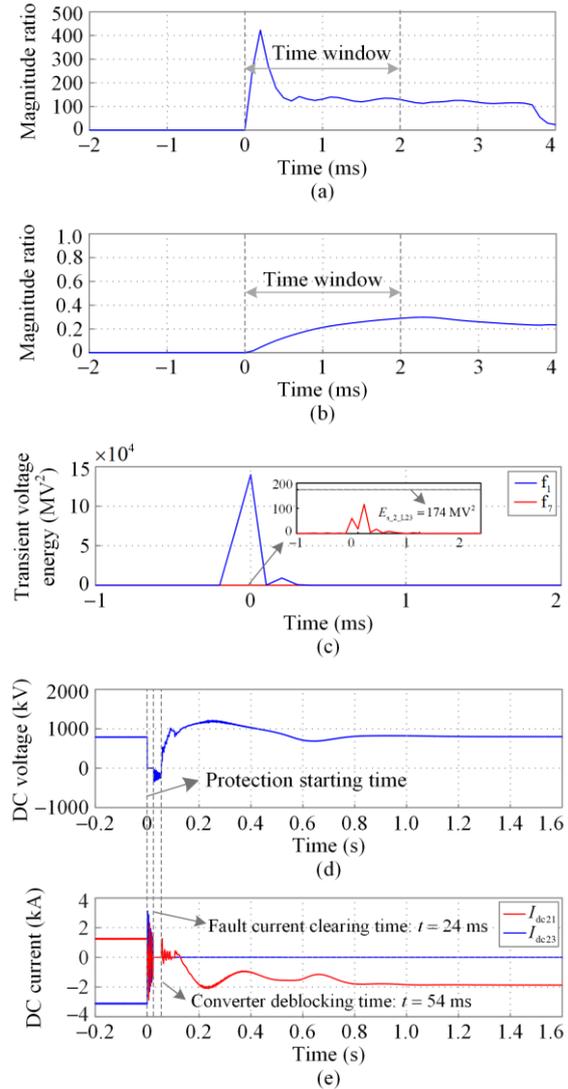


Fig. 9. Performance of the protection  $P_2$  and the operation of converter  $S_2$  after fault  $f_4$ . (a) TW magnitude ratio of  $L_{21}$ . (b) TW magnitude ratio of  $L_{23}$ . (c) Transient voltage energy. (d) DC voltage at the outlet of the  $S_1$ . (e) DC current.

The performance of protection  $P_1$  is shown in Fig. 10. When it is started, the magnitude ratio of the line-side and converter-side DC transient voltages is calculated as 2.42, which is larger than the setting value of 1.1, as shown in Fig. 10(a). Thus,  $f_4$  is judged as a forward fault for  $P_1$ . Subsequently, the transient voltage energy is calculated by using the wavelet transform algorithm to determine whether a fault occurs on the DC

line. In accordance with the simulation results shown in Fig. 10(b), the fault can be judged to occur on the DC line because the maximum magnitude of the transient voltage energy  $47\,806\text{ MV}^2$  after fault  $f_4$  is much larger than the setting value of  $764\text{ MV}^2$ . Under these conditions, the converter should be blocked as soon as possible, while the voltage at the outlet of converter  $S_1$  should be detected after a delaying period of  $\Delta t_2$ . The DC voltage at the outlet of  $S_1$  is established again, indicating that no fault occurs between  $S_1$  and  $S_2$ , as shown in Fig. 10(c). Therefore, converter  $S_1$  is deblocked, and current flows through  $L_{21}$ , as shown in Fig. 10(d).

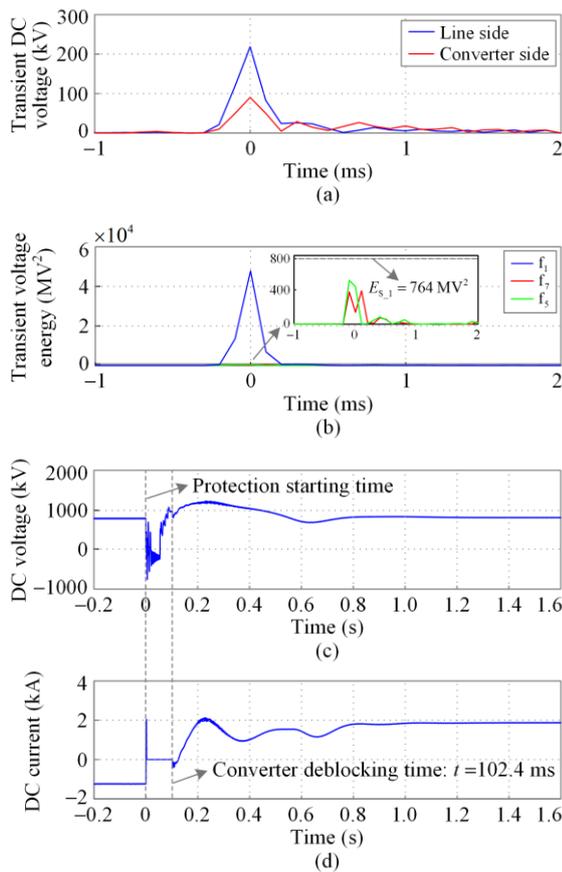


Fig. 10. Performance of the protection  $P_1$  and the operation of converter  $S_1$  after fault  $f_4$ . (a) Transient DC voltage. (b) Transient voltage energy. (c) DC voltage. (d) DC current.

Similar to protection  $P_1$ , protection  $P_3$  identifies the fault direction first after start. The fault can be judged to be on the DC line side because the transient voltage magnitude ratio on both sides of the DC inductor (1.43) is larger than the setting value, as shown in Fig. 11(a). In Fig. 11(b), the maximum magnitude of the transient voltage energy after  $f_4$  is  $14\,866\text{ MV}^2$ , which is much larger than the setting value of  $1484\text{ MV}^2$ . Thus, the

fault can be judged to be on the DC line. When a DC fault is identified, the converter should be blocked as soon as possible, and the voltage at the outlet of  $S_3$  is shown in Fig. 11(c). In Fig. 11(c), the DC voltage is zero when the converter is blocked, even after a delaying period of  $\Delta t_2$ . This finding indicates that the fault occurred on  $L_{23}$ . Therefore, converter station  $S_3$  remains blocked, as shown in Fig. 11(d).

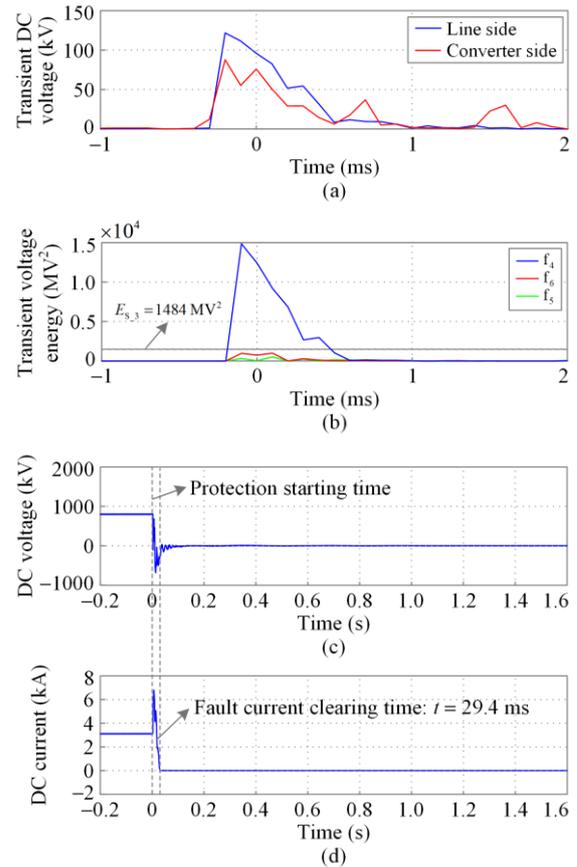


Fig. 11. Performance of protection  $P_3$  and the operation of converter  $S_3$  after fault  $f_4$ . (a) Transient DC voltage. (b) Transient voltage energy. (c) DC voltage. (d) DC current.

The simulation results indicate that the DC fault line can be reliably identified within 2 ms after the protection is started, while the converter can be blocked as soon as possible. Subsequently, the recovery of the non-fault network can be realized within a few hundred milliseconds after the fault.

### C. Performances of the Non-unit Protection Scheme for Different Faults

#### 1) Fault Transition Resistance Endurance

To verify the feasibility of the proposed non-unit protection scheme based on cooperation with the control strategy, various simulation tests are conducted, and the corresponding results are shown in Table III. The fault locations of  $f_1 - f_9$  are shown in Fig. 1.

As shown in Table III, when the transition resistance is smaller than 300  $\Omega$ , for faults  $f_1$ ,  $f_2$  and  $f_8$ , which occur on  $L_{21}$ , protection  $P_1$ ,  $P_2$  and  $P_3$  can judge the fault accurately, and after clearing the fault, converter stations  $S_2$  and  $S_3$  are restored. Similarly, for faults  $f_3$ ,  $f_4$  and  $f_9$ , the fault can be judged to occur on  $L_{23}$  by the proposed protection scheme, and converter stations  $S_1$  and  $S_2$  can be restored when the fault is cleared. In addition, for non-DC-line faults, such as  $f_5$ ,  $f_6$  and  $f_7$ ,

the fault can be reliably identified as external faults. This indicates that the proposed protection scheme has the ability to tolerate transition resistance. Consequently, for a multi-terminal hybrid HVDC system without line boundaries, the proposed non-unit protection scheme based on cooperation with the control strategy can detect and isolate faults reliably based on local electrical information, which can greatly accelerate the protection speed. The rapid recovery of the non-fault network can be realized, thereby greatly improving the power supply reliability.

TABLE III  
PERFORMANCES OF THE NON-UNIT PROTECTION SCHEME FOR DIFFERENT FAULTS

Location	Transition resistance ( $\Omega$ )	$P_1$		$P_2$			$P_3$		Correct operation?	Restored converter
		$K_d$	$E_U$ (MV <sup>2</sup> )	$K_{sw1}$	$K_{sw2}$	$E_U$ (MV <sup>2</sup> )	$K_d$	$E_U$ (MV <sup>2</sup> )		
$f_1$	0	2.41	47 827	0.34	89.98	139 930	1.43	14 923	√	$S_2, S_3$
	300	2.40	5027	0.34	28.39	15 099	1.17	1695	√	$S_2, S_3$
	500	2.38	2479	0.33	19.36	7219	1.03	857	Non-action	
$f_2$	0	2.24	138 890	0.35	84.33	11 102	1.96	11 102	√	$S_2, S_3$
	300	2.22	34 399	0.38	25.36	2545	1.63	6323	√	$S_2, S_3$
	500	2.22	19 277	0.38	16.91	1375	1.63	3482	√	$S_2, S_3$
$f_3$	0	2.38	30 890	105.27	0.31	3864	1.62	144 780	√	$S_1, S_2$
	300	2.42	7128	42.05	0.32	865	1.56	34 149	√	$S_1, S_2$
	500	2.37	4004	29.21	0.32	468	1.56	18 976	√	$S_1, S_2$
$f_4$	0	2.42	47 806	110.20	0.30	139 900	1.43	14 866	√	$S_1, S_2$
	300	2.45	5129	38.03	0.31	15 139	1.17	1678	√	$S_1, S_2$
	500	2.34	2481	25.83	0.31	7247	1.03	844	Non-action	
$f_5$	0	2.10	509	82.83	68.01	1376	Not start	514	√	
$f_6$	0	0.18	4696	0.36	51.07	378	1.65	989	√	
$f_7$	0	2.31	389	89.70	0.28	116	0.07	1167	√	
$f_8$	0	2.17	25 794	0.35	83.12	5964	1.48	32 680	√	$S_2, S_3$
	300	2.13	3155	0.34	32.47	750	1.49	3984	√	$S_2, S_3$
	500	2.07	1529	0.33	22.96	384	1.19	1949	√	$S_2, S_3$
$f_9$	0	2.40	17 053	86.95	0.32	9206	1.49	34 004	√	$S_1, S_2$
	300	2.40	1816	33.31	0.31	1027	1.81	3614	√	$S_1, S_2$
	500	2.39	875	23.06	0.30	498	1.78	1732	√	$S_1, S_2$

In addition, it should be noted that when the transition resistance increases to 500  $\Omega$ , there is a possibility of the protection maloperation. For example, when fault  $f_1$  with 500  $\Omega$  occurs, protection  $P_3$  cannot operate sensitively, as the transient voltage energy is smaller than the setting value.

## 2) Robustness Ability Against the Noise

The performances of protection  $P_2$  after  $f_1$  are taken as an example to evaluate the robustness against the noise. The white noise with a signal to noise ratio of 20 dB is added to the measured DC voltage and current, as shown in Figs. 12(a) and (b), respectively. For the DC voltage

and current with the noise, the magnitude ratio of the forward and reverse TWs at the outlet of  $L_{21}$  is calculated as 0.36, while the value at the outlet of  $L_{23}$  is 12.57, as shown in Figs. 12(c) and (d). Thus, the fault can be judged to be at  $L_{21}$ -side. Then, the DC transient voltage energy can be obtained by the wavelet transform, as shown in Fig. 12(e). The maximum transient voltage energy in the selected time window of fault  $f_1$  is 164 860 MV<sup>2</sup>, which is much larger than the setting value, and thus, the fault can be judged to occur on  $L_{21}$ . Therefore, the proposed non-unit protection scheme is proved to be robust to noise.

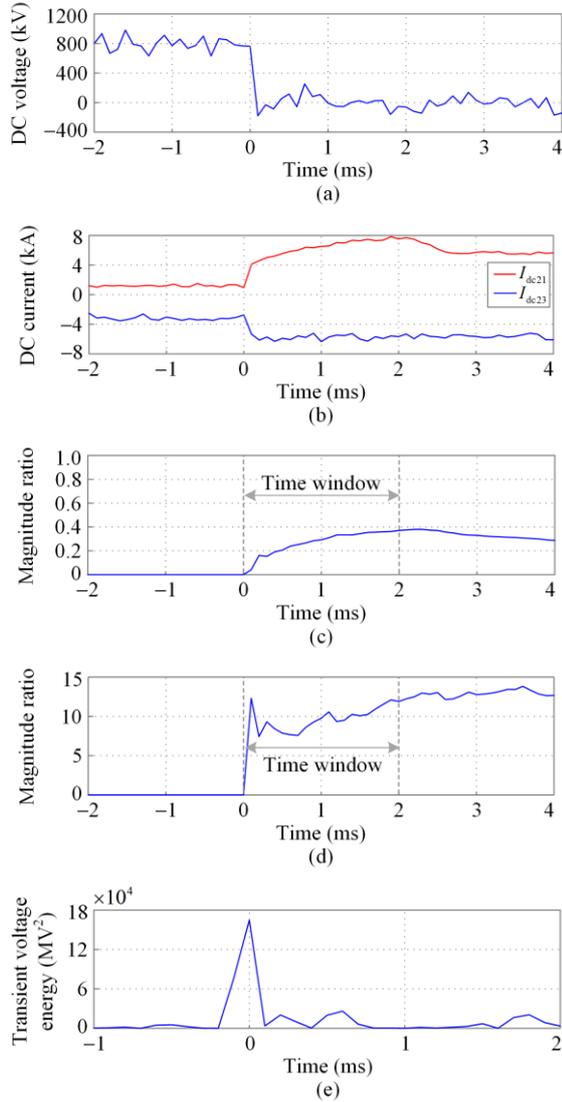


Fig. 12. The robustness ability against the noise for the protection  $P_2$  after fault  $f_1$ . (a) DC voltage. (b) DC current. (c) TW magnitude ratio of  $L_{21}$ . (d) TW magnitude ratio of  $L_{23}$ . (e) Transient voltage energy.

## V. CONCLUSION

Fast and reliable identification and isolation of DC faults are highly important for the safe and stable operation of multiterminal hybrid HVDC systems. However, existing non-unit protection schemes based on line boundary are not applicable in the case of multiterminal HVDC systems without line boundaries. Therefore, a non-unit protection scheme based on cooperation with the converter control strategy is proposed in this paper.

In the proposed DC protection scheme, the DC inductor at the outlet of the converter is utilized as the boundary for DC line faults and converter faults. The performances of the non-unit fault identification criteria and direction criteria are compared. In case only one outgoing line is connected to the converter station, DC faults can be identified directly by the criterion based on

the transient voltage energy and the direction criterion based on the transient voltage magnitude ratio. For cases where several outgoing lines are connected to the converter station, DC faults are identified by the criterion based on the transient voltage energy and direction criterion based on the traveling wave. Therefore, DC line faults can be reliably identified by the proper setting value of the protection criterion, and selected converters can be blocked as soon as possible.

However, the configured protection cannot distinguish which line is the fault line. Thus, the cooperation control of individual converter stations is required to selectively identify the fault line. For converter stations connected with multiple DC lines, the fault line can be isolated by relevant DC switch, allowing the converter station to be deblocked to establish DC voltage. The deblocking of other converter stations is determined by the detection of the DC voltage, as only converter stations connected to non-fault lines can detect DC voltage.

In conclusion, the proposed non-unit protection scheme based on cooperation with the control strategy significantly improves the operation speed without the need for communication when compared to pilot protection. Unlike traditional non-unit protection schemes, this approach does not rely on line boundaries. The proposed protection scheme considers the operation speed, reliability, and sensitivity of protection while realizing fast recovery of non-fault network, thereby greatly improving the power supply reliability. In addition, for multiterminal HVDC grids based on the converters with fault clearing capability, the proposed non-unit protection scheme based on cooperation with converter control can be applied effectively.

## ACKNOWLEDGMENT

Not applicable.

## AUTHORS' CONTRIBUTIONS

Ye Li: writing-revised draft preparation and supervision. Na Jia: investigation and writing-review & editing. Jiawei He and Bin Li: methodology and visualization. Haiyang Wang: conceptualization. Shengbo Zhang: data curation and validation. All authors read and approved the final manuscript.

## FUNDING

This work is supported by the National Natural Science Foundation of China (No. 52207088) and the Natural Science Foundation of Hebei Province (No. E2024202184).

## AVAILABILITY OF DATA AND MATERIALS

Not applicable.

## DECLARATIONS

Competing interests: The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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